



MS AF
PETITION UNDER
37 C.F.R. § 1.181
EXAMINING GROUP 2653

PATENT 0630-1150P

## IN THE U.S. PATENT AND TRADEMARK OFFICE

Applicant:

Mi Young KIM

Conf. No.:

9860

Appl. No.:

09/662,023

Group:

2653

Filed:

September 14, 2000

Examiner:

A. PSITOS

For:

METHOD FOR CHECKING DISK LOADING STATUS IN

OPTICAL DISK DRIVER

## PETITION UNDER 37 CFR §1.181

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 February 15, 2005

Sir:

Applicant hereby Petitions the Commissioner to withdraw the finality of the Office Action mailed on August 27, 2004.

## **BACKGROUND**

A first Office Action, dated March 11, 2004 contained a rejection of claims 1, 4 and 5 under 35 U.S.C. §112, Second Paragraph. The Office Action also contained rejections of claims 1-5 under 35 U.S.C. §103(a).

In an Amendment under 37 C.F.R. §1.111, filed on June 14, 2004, Applicant amended claims 1-4 in an attempt to overcome the rejection. No

Art Unit 2653 Petition under 37 CFR 1.181

Page 2 of 6

attempt was made to amend the claims to overcome the prior art rejections

under 35 U.S.C. §103.

The outstanding Office Action, mailed on December 23, 2004, was made

final. That Office Action clearly stated that "Applicant's amendment

necessitated the new ground(s) of rejection presented in this Office Action.

Accordingly, THIS ACTION IS MADE FINAL."

In an Amendment under 37 C.F.R. §1.116, filed on November 29, 2004,

Applicant requested reconsideration of the finality of the June 14, 2004 Office

Action.

In that request, Applicant argued that Applicant's amendment did not

necessitate the new grounds of rejection presented in the outstanding Office

Action. Applicant argued that the only amendments made to claims 1, 4 and 5

were made to overcome the rejection under 35 U.S.C. §112, second paragraph.

Applicant further pointed out that, as a result of the June 14, 2004

Amendment, the terminology "multi-stage" was replaced with --multiple loading

stages of the disk--, and "optical disk" was changed to --optical disk driver--,

and that these changes did not affect the scope of the claims in any way.

Rather, they merely made the claims clearer to understand. Applicant also

stated that, because these changes did not necessitate the entirely new

grounds of rejection, the outstanding Office Action was prematurely made final,

Art Unit 2653 Petition under 37 CFR 1.181

Page 3 of 6

and requested that the finality of the August 27, 2004 Office Action be

withdrawn.

Applicant also argued that, with the finality of the outstanding Office

Action withdrawn, the Amendments are entitled to be entered per 37 C.F.R.

§1.111.

An Advisory Action, dated December 23, 2004, stated that while

Applicant's "amendments clarified 112 issues, the examiner could not

prophesize how applicant intended to amend the claims to overcome the 112

rejections."

**ARGUMENT** 

The changes made to claim 1 in the Amendment dated June 14, 2004

were, as follows:

a) In the claim preamble, "checking a disk in an optical disk" was

changed to -- checking a disk in an optical disk driver -- to eliminate the

contradiction of checking a disk in an optical disk. The contradictory language

that was changed was apparently due to a non-idiomatic English language

translation of Applicant's originally filed Korean language priority patent

application. This type of amendment is usually appreciated by Examiners

because it improves the readability of the application and removes obviously

contradictory language.

b) "discriminating a loading status of an optical disk by multi-stage" was

Page 4 of 6

Art Unit 2653 Petition under 37 CFR 1.181

changed to read -- discriminating a loading status of an optical disk during

multiple loading stages of the disk -- to clarify the metes and bounds of the

invention based on the Examiner's statement in the body of the rejection of this

claim under 35 U.S.C. §112, second paragraph, that the phrase "by multi-stage"

was not understood.

This amendment merely clarified what Applicant's non-idiomatic English

expression "discriminating a loading status of an optical disk by multi-stage"

actually meant in idiomatic English, and did not add any features to the claim

that were not already there.

c) "transmitting corresponding information to a host connected through

an interface in case that disk has been jammed upon discrimination" was

amended to read -- transmitting information to a host connected through an

interface to the optical disk driver in case that the disk has been jammed based

on the discrimination --. Applicant respectfully submits that this amendment

placed the non-idiomatic English claim language into idiomatic English. The

portion of the amendment stating that the host is connected through an

interface to the optical disk driver merely indicates what claim element the

device is connected to via the interface as an operative device.

(d) In the last clause of claim 1, the indefinite article "a" was inserted in

between "performing disk-ejection" so it would read -- performing a disk-ejection

--. This amendment was made to make the clause grammatically correct.

Art Unit 2653

Petition under 37 CFR 1.181

Page 5 of 6

None of the amendments made to claim 1 changed the claims so as to

require further consideration or search by the Examiner.

Similar, clarifying amendments were made to claims 2, 3 and 4, none of

which required further consideration or search by the Examiner.

Applicant respectfully submits that the claim amendments filed on June

14, 2004 did not necessitate the withdrawal of the previously applied references

and application of new prior art references and different rejections of claims 1-5

for the reasons stated above.

Additionally, Applicant respectfully submits that the reason stated in the

Advisory Action to deny the request to withdraw the finality of the August 20,

2004 Office Action (i.e., that the Examiner cannot prophesize what amendments

Applicant is going to make) is fundamentally flawed. The Examiner knew, when

the August 27, 2004 Office Action was prepared, what amendments to claims 1-

5 had been made and the statement that the Examiner cannot prophesize what

amendments Applicant is going to make simply does not apply to the

Amendment filed on June 14, 2004.

CONCLUSION

Applicant's Amendment filed on June 14, 2004 did not necessitate the new

grounds of rejection of claims 1-5 that were contained in the August 27, 2004

Application No.: 09/662,023

Art Unit 2653

Attorney Docket No. 0630-1150P Petition under 37 CFR 1.181

Page 6 of 6

Office Action. Accordingly, the August 27, 2004 Office Action should not have

been made final and must be withdrawn.

Applicant respectfully requests that the finality of the August 27, 2004

Office Action be withdrawn, the Amendment filed on November 29, 2004 be

entered, and the Examiner be required to promptly act on the merits of the

Amendment filed on November 29, 2004.

If necessary, the Commissioner is hereby authorized in this, concurrent,

and future replies, to charge payment or credit any overpayment to Deposit

Account No. 02-2448 for any additional fees required under 37 C.F.R. §§1.16 or

1.17; particularly, extension of time fees.

Respectfully submitted,

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